EXPRESS MAIL NO.: <u>EL 451 598 589 US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Application of: Dasseux, et al.

Group Art Unit: 1653

OCT 2 2 2002

Serial No.: 09/865,989

Filed: May 25, 2001

Examiner: Russell

TECH CENTER 1600/2900

For:

APOLIPOPROTEIN A-I AGONISTS AND THEIR

USE TO TREAT

DYSLIPIDEMIC DISORDERS

Attorney Docket No.: 9196-019-999

FEE TRANSMITTAL SHEET

Commissioner for Patents Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$54.

The claim amendment fee has been estimated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)		SMALL ENTITY				OTHER THAN A SMALL ENTITY		
	CLAIMS REMAINING AFTER		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE		ADDIT. FEE	OR	RATE	ADDIT. FEE	
	AMENDMENT		40		6	× 9	\$_	54.00		× 18	\$	
TOTAL	46	MINUS			0	× 42		0.00		× 84	\$	
INDEP.	01	MINUS	05	_=_			_			280	\$	
□ FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						140						
O FIRST IN	KESDI (TITLE)					TOTAL	\$	54.00	OR	TOTAL	\$	

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date: October 16, 2002

Rahul Pathak

(Reg No.)

for Laura A. Coruzzi (Reg. No. 30,742)

PENNIE & EDMONDS LLP 1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090

EXPRESS MAIL NO.: EL 451 598 589 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Application of : Dasseux, et al.

Serial No.: 09/865,989

Filed: May 25, 2001

APOLIPOPROTEÌ For:

AGONISTS AND THEIR

USE TO TREAT

DYSLIPIDEMIC DISORDERS

Group Art Unit: 1653

Examiner: Russell

Attorney Docket No .:

9196-019-999

UCI 2 2 2002

TECH CENTER 1600/2900



PRELIMINARY AMENDMENT UNDER 37 C.F.R. §1.115

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §115, please enter the following amendments prior to examination of the above-captioned application.

AMENDMENTS

IN THE CLAIMS

Please amend Claims 1, 16, 17, 18, 25 and 33 as follows:

- An ApoA-I agonist compound comprising: 1. (Twice amended)
- (i) an 18 to 22-residue D-enantiomeric peptide or peptide analogue which forms an amphipathic α -helix in the presence of lipids and which comprises formula (I):

$$Z_{1}-X_{1}-X_{2}-X_{3}-X_{4}-X_{5}-X_{6}-X_{7}-X_{8}-X_{7}-X_{8}-X_{10}-X_{11}-X_{12}-X_{13}-X_{14}-X_{15}-X_{16}-X_{17}-X_{18}-Z_{2}$$

- X₁ is D-Ala (a), Gly (G), D-Asn (n), D-Gln (q) or D-Pro (p);
- X₂ is a D-enantiomeric aliphatic residue;
- X₃ is D-Leu (l);
- X₄ is a D-enantiomeric acidic residue;
- X₅ is D-Leu (l) or D-Phe (f);